

Emissions Banking and Trading Program Due Dates:

PROGRAM:	FORM:	TYPE:	FORM SUBMITTAL DUE DATE:
Mass Emissions Cap and Trade (MECT) Program	ECT-1	Annual Compliance Report	Submitted by March 31 st after each control period [TAC §101.359(a)]
	ECT-2	Transfer of Allowances	Submitted by January 30 th of the following control period [TAC §101.356(d)(3)]
	ECT-3	Level of Activity Certification	Initial certification , submitted by June 30, 2001 [TAC §101.360(a)] Converting allowable to actual allowances , submitted by March 30 th after the applicable unit has had 5 full years of operating data [TAC §101.360(b)(1)]
	ECT-4	Permanent Transfer of Allowance Ownership	Submitted at least 30 days prior to deposit [TAC §101.356(e)(2)]
	ECT-5	Transfer of Individual Future Year Allowances	None
HRVOC Emissions Cap and Trade (HECT) Program	ECT-1H	Annual Compliance Report	Submitted by March 31 st after each control period [TAC §101.400(a)]
	ECT-2	Transfer of Allowances	Submitted by January 30 th of the following control period [TAC §101.356(d)(3)]
	ECT-3H	Level of Activity Certification	Submitted by April 30, 2005 [TAC §101.401(a)]
	ECT-4	Permanent Transfer of Allowance Ownership	Submitted at least 30 days prior to deposit [TAC §101.356(e)(2)]
	ECT-5	Transfer of Individual Future Year Allowances	None
Senate Bill 7 (SB7) Program	AR-1	Annual Compliance Report	Submitted by June 30 th after each control period [TAC §101.336(b)]
	AR-2	Transfer of Allowances	Submitted within 30 days after the trade has occurred [TAC §101.335(b)(2)] and By June 1 st of the following control period [TAC §101.332(b)]

Emissions Banking and Trading Forms can be found at http://www.tceq.state.tx.us/implementation/air/banking/air_banking_form.html
The Emissions Banking and Trading Database is accessible at <http://www5.tceq.state.tx.us/airperm/index.cfm?fuseaction=banking.start>

Last Updated December 29, 2009

PROGRAM:	FORM:	TYPE:	FORM SUBMITTAL DUE DATE:
Discrete Emission Credit (DEC) Program	DEC-1	Generation Certification of DECs	Submitted within 90 days after the end of the generation period or Within 90 days after the completion of 12 months of generation [TAC §101.373(d)(1)], [TAC §101.374(e)(1)]
	DEC-2	Intent to Use DECs	In DFW , submitted by August 1 st prior to the beginning of the calendar year that the DECs are intended for use [TAC §101.376(d)(1)(B)(i)] Not in DFW , submitted at least 45 days prior to the first day of the use period if discrete emission credits were generated from a facility, or 90 days if the discrete emission credits were generated from a mobile source. Resubmit every 12 months thereafter if DERC use period exceeds 12 months [TAC §101.376(d)(1)(B)(ii)] Compliance with the MECT Program , submitted by October 1 st of the control period for which the discrete emission credits will be used [TAC §101.356(h)(9)]
	DEC-3	Notice of Use of DECs	Submitted within 90 days after the end of the use period, with each use period not exceeding 12 months [TAC §101.376(e)(3)(A)] Compliance with the MECT Program , submitted by March 31 st after the control period for which the discrete emission credits were intended to be used [TAC §101.356(h)(9)]
	DEC-4	Transfer of DECs	Submitted prior to the transfer [TAC §101.378(c)(1)]
Emission Credit (EC) Program	EC-1	Certification of Emission Credits	Submitted within 180 days of the implementation of the emission reduction strategy [TAC §101.303(d)(1)], [TAC §101.304(e)(1)]
	EC-2	Re-review of Emission Credits	Submitted any time during the emission credit's banked life [TAC §101.309(c)]
	EC-3	Notice of Intent to Use	Offsets in New Source Review permitting (new construction, modification), submitted prior to construction [TAC §101.306(c)(1)] Complying with the requirements of TAC 114, 115, or 117 , submitted at least 90 days prior to its planned use [TAC §101.306(c)(2)]
	EC-4	Transfer of Emission Credits	Submitted prior to the transfer [TAC §101.309(d)(1)]

Emissions Banking and Trading Forms can be found at http://www.tceq.state.tx.us/implementation/air/banking/air_banking_form.html
The Emission Banking and Trading Database is accessible at <http://www5.tceq.state.tx.us/airperm/index.cfm?fuseaction=banking.start>

Last Updated December 29, 2009

PROGRAM:	FORM:	TYPE:	FORM SUBMITTAL DUE DATE:
Clean Air Interstate Rule (CAIR)	CNA-1	Establishing an Initial Baseline for the General Pool	By May 1, 2011, or May 1 st of the control period immediately following a unit's fifth consecutive year of commercial operation [TAC §101.506(g)]
	CNA-2	Requesting Allowances from the New Unit Set-Aside Trading Budget	By May 1 st [TAC §101.506(d)(2)]

Emissions Banking and Trading Forms can be found at http://www.tceq.state.tx.us/implementation/air/banking/air_banking_form.html
The Emissions Banking and Trading Database is accessible at <http://www5.tceq.state.tx.us/airperm/index.cfm?fuseaction=banking.start>

Last Updated December 29, 2009